Website Privacy Policy

We are committed to protecting the privacy and security of your personal information. The following Privacy Policy explains how StoneX Group Inc. collects, uses, shares and protects your personal information. This includes information we collect through, or in association with, our websites, our products and services that we may offer from time to time via our website, or otherwise through your interactions with us (the website, products, and services, collectively, the "Services"). References to "StoneX Group" in this Privacy Policy include all StoneX Group companies.

Please note that other more specific policies may be available to you on sites through which you transact business with our affiliated companies or delivered to you in connection with opening an account.

EU and UK data subjects, please click here.

California residents, please click here.

Nevada consumers, please click here.

Collection of Personal Information

We collect your personal information directly from you and automatically or indirectly from you as a result of your relationship with us or use of and access to our Services (for example, through logging and analytics tools). We may use the information collected from you to verify your identity and establish and maintain your account, among other reasons. This information also helps us improve our Services to you, customize your browsing experience, and inform you about additional products, services, or promotions that may be of interest to you.

You directly provide us with the majority of information we collect. This information includes your contact details, such as name, mailing address, telephone number, and e-mail address. We may also collect additional information such as personal identification data we are required by law to collect, information required to assess your experience and risk tolerance, and demographic information. Depending on our relationship, you provide us with this information by submitting an inquiry form, completing an account application and related documentation, by sending us an e-mail, or by submitting information in response to a promotion or special offer.

The information we collect indirectly from you includes information gathered through your interactions with our website. This information may include, Internet protocol ("IP") address, browser type, operating system, Internet service provider ("ISP"), and time stamps.

We, and our third-party service providers, use cookies and web beacons (also known as action tags or single-pixel gifs), and other technologies (collectively, "Cookies") to provide us with data we can use to improve your experience and to remember information that you have provided or choices you have made on the website, such as saving your logged-in status or site preferences. Cookies are small text files sent from Web servers that may be stored on your device. Cookies enable us to capture how you arrived at our site, when you return, which pages on our site you visit, and to recognize that you are already logged on when we receive a page request from your browser. We may link the information we store in Cookies to personally identifiable information you submit while on our site. Cookies do not contain account or password information.

For more information about how to control and/or opt out of certain web tracking technologies and/or advertising providers collecting information about you, see the section in this Privacy Policy entitled "Your Choices".

Information Use and Sharing

We may use personal information to provide the information, product, or service you have requested; to provide you the opportunity to access additional products or services offered by StoneX Group; to communicate with you by email, mail, telephone, and other methods of communication, in each case with your consent, if such consent is required in accordance with applicable laws, about products, services, and other topics; to operate, enhance and improve the Services we provide or otherwise in connection with our operation of the business; to comply with applicable laws, regulations, rules, and requests of relevant law enforcement and/or other governmental agencies; to protect our and our partners' rights, property, or safety, and the rights, property, and safety of our users and other third parties; and for other purposes, as permitted or required by law.

Some of the above processing involves sharing collected personal information with third parties, including service providers, affiliates, and other outside organizations.

We may share information with our affiliates for the above purposes.

We may share information with third-party service providers and outside organizations that provide services to us and/or directly to you, including web hosting and analytics providers, customer service, communications services, commercial mail and email marketing providers, and security consultants. These third-party service providers and outside organizations provide us and/or our affiliates and partners with information about the use of the Services and levels of engagement with the Services, to allow us to enter into new business relationships, and to allow us to market our products or services.

We may also disclose information with government agencies or regulators when permitted or required to do so by law; in response to a request from a law enforcement agency or authority or any regulatory authority; and/or to protect the integrity of the Services or our interests, rights, property, health or safety, and/or that of our users and others.

Information Protection

We take our responsibility to protect your information seriously. We use reasonable and appropriate physical, technical, and organizational safeguards designed to promote the security of our systems and protect the confidentiality, integrity, availability, and resilience of personal information.

However, no method of safeguarding information is completely secure. While we use measures designed to protect personal information, we cannot guarantee that our safeguards will be effective or sufficient. In addition, you should be aware that Internet data transmission is not always secure, and we cannot warrant that information you transmit utilizing the Services is or will be secure.

Accessing, Modifying, and Correcting Personal Information

We strive to maintain the accuracy of any personal information collected from you. However, we must rely upon you to ensure that the information you provide to us is complete, accurate, and up-to-date, and to inform us of any changes. Please review all your information carefully before submitting it to us and notify us as soon as possible of any updates or corrections.

Your Choices

You have choices about certain information we collect about you, how we communicate with you, and how we process certain personal information. When you are asked to provide information, you may decline to do so; but if you choose not to provide information that is necessary to provide some of our

Services, you may not be able to use those Services. In addition, it is possible to change your browser settings to block the automatic collection of certain information. Your choices also include the following:

- Communications Opt-Out. You may opt out of receiving marketing or other communications from us at any time through a given communications channel (such as email or telephone) by following the opt-out link or other unsubscribe instructions provided in any email message received or by contacting us as provided at the end of this Privacy Policy. If you wish to opt out by sending us an email to the address provided below, please include "Opt-Out" in the email's subject line and include your name and the email address you used to sign up for communications in the body of the email.
- Note that if you do business with us in the future, you may not, subject to applicable law, opt out of certain automated notifications, such as order or subscription confirmations, based on business transactions (e.g., e-commerce).
- Cookies, Web Tracking, and Advertising. Most browsers automatically accept cookies by default, but
 you can adjust your device and/or browser settings to delete and/or block some or all cookies, or
 provide notifications when you receive a new cookie. Consult the "Help," "Tools," or "Preferences"
 menus on your browser, or the documentation for your device, for more information about how to
 do this. Please note, however, that blocking or deleting certain cookies may negatively affect your
 user experience.
- Additionally, you can generally disable or delete cookies placed and used by browser add-ons, such
 as Adobe Flash cookies, by changing the add-on settings or visiting the website of its provider.
 Information and controls that allow you to manage Adobe Flash cookies may be found on this page:
- https://www.macromedia.com/support/documentation/en/flashplayer/help/settings manager.html
- Note that if you disable Adobe Flash cookies or similar technologies, you may not have access to certain features and services (such as consistent volume settings for videos) that make your online experience more efficient and/or enjoyable.
- **Analytics.** If you wish to opt out of Google Analytics, you can download and install an opt-out browser add-on for certain browsers at the link listed above.
- Advertising. Certain devices and browsers allow you to reset your advertising identifier at any time
 by accessing the privacy settings on your device in order to restrict the delivery of tailored inapplication advertisements. Consult your device's documentation for more information about how to
 do this.
- o In addition, the DoubleClick ad network allows you to opt out of its online behavioral advertising by following the instructions at the link posted below.
- For more information about opting out of online behavioral advertising, consult the Digital Advertising Alliance's YourAdChoices website here: http://optout.aboutads.info/?c=2#!/

Other Important Information

- Collection of Personal Information from Children. Children under 18 years of age are not permitted to use the Services, and we do not knowingly collect information from children under the age of 18. By using the Services, you represent that you are 18 years of age or older.
- Third-party Websites and Services. As a convenience, we may reference or provide links to third-party websites and services, including those of unaffiliated third parties, our affiliates, service providers, and third parties with which we do business. When you access these third-party services, you leave our Services, and we are not responsible for, and do not control, the content, security, or privacy practices employed by any third-party websites and services. You access these third-party services at your own risk. This Privacy Policy does not apply to any third-party services; please refer to the privacy notices or policies for such third-party services for information about how they collect, use, and process personal information.
- <u>Business Transfer.</u> We may, in the future, sell or otherwise transfer some or all of our business, operations or assets to a third party, whether by merger, acquisition or otherwise. Personal information we obtain from or about you via the Services may be disclosed to any potential or actual third-party acquirers and may be among those assets transferred.
- **Do Not Track.** We use analytics systems and providers and participate in ad networks that process personal information about your online activities over time and across third-party websites or online services, and these systems and providers may provide some of this information to us. We do not currently process or comply with any web browser's "do not track" signal or similar mechanisms.
- Note, however, that you may find information about how to opt out of Google Analytics, DoubleClick online behavioral advertising, and/or block or reject certain tracking technologies in the section in this Privacy Policy entitled "Your Choices".

Changes to this Privacy Policy

We reserve the right, at any time, to modify, alter, and/or update this Privacy Policy, and any such modifications, alterations, or updates will be effective upon our posting of the revised Privacy Policy. We will use reasonable efforts to notify you in the event material changes are made to this Privacy Policy, such as by posting a notice on the Services. Your continued use of the Services following our posting of any revised Privacy Policy will constitute your acknowledgement of the amended Privacy Policy.

Contact Information

You may contact StoneX Group if you have any questions regarding this Privacy Policy at:

Phone: (800) 255-6381 Email: Privacy@stonex.com

Chicago, IL 60604

Postal Address:

StoneX Group Inc. Attn: Legal Department 230 South LaSalle Suite 10-500